



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/919,477	07/30/2001	Adrian Bot	ALLIA.228A

20995  
KNOBBE MARTENS OLSON & BEAR LLP  
620 NEWPORT CENTER DRIVE  
SIXTEENTH FLOOR  
NEWPORT BEACH, CA 92660

CONFIRMATION NO. 3914

## FORMALITIES LETTER



\*OC000000006556914\*

Date Mailed: 09/14/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1240.
  - \$1080 for 60 total claims over 20.
  - \$160 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 2080.**

*A copy of this notice **MUST** be returned with the reply.*

*ESAYSON*

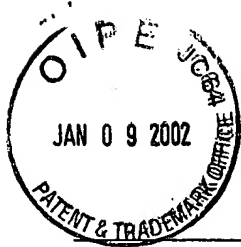
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/15/2002 AGOITOM 00000013 09919477

1 FC:201	370.00 OP
2 FC:205	65.00 OP
3 FC:203	540.00 OP
4 FC:202	84.00 OP

**COPY OF PAPERS  
ORIGINALLY FILED**



Section 8  
PATENT #5

Case Docket No. ALLIA.228A  
Date: November 8, 2001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Bot, et al.  
App. No. : 09/919,477  
Filed : July 30, 2001  
For : NOVEL METHODS AND  
COMPOSITIONS TO  
UPREGULATE, REDIRECT  
OR LIMIT IMMUNE  
RESPONSES TO  
PEPTIDES, PROTEINS,  
AND OTHER BIOACTIVE  
COMPOUNDS AND  
VECTORS EXPRESSING  
THE SAME

I hereby certify that this correspondence and all  
marked attachments are being deposited with the  
United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner  
for Patents, Washington, D.C. 20231, on

November 8, 2001

(Date)

*Joseph J. Mallon*  
Joseph J. Mallon, Reg. No. 39,287

Group Art Unit : Unknown

**TRANSMITTAL LETTER**

**ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231**

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f),  
which was mailed by the Office on September 14, 2001, enclosed are:

- (X) A copy of the executed Declaration by Inventors previously submitted along with an  
Information Disclosure Statement on November 5, 2001.
- (X) A copy of the Power of Attorney Form and Copy of Assignment previously submitted  
with an Information Disclosure Statement on November 5, 2001.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.

**COPY OF PAPERS  
ORIGINALLY FILED**

## PATENT

Case Docket No. ALLIA.228A

Date: November 8, 2001

(X) Return prepaid postcard.

(X) Fees as calculated below:

FILING FEE \$ 740

FEE FOR EXTENSION OF TIME (LARGE ENTITY) months \$

SURCHARGE 37 CFR 1.16(e) \$ 130

60 TOTAL CLAIMS OVER 20 = 60 X 18 \$ 1,080

2 INDEPENDENT CLAIMS OVER 3 = 2 X 84 \$ 168

TOTAL OF ABOVE CALCULATIONS \$2,118

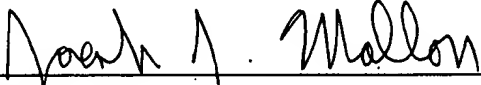
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.

Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - 1,059

TOTAL FEES SUBMITTED HERewith \$1,059.00

(X) A check in the amount of \$1,059.00 to cover the above fees is enclosed.

(X) The Commissioner is hereby authorized to charge any additional fees, which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.

  
\_\_\_\_\_  
Joseph J. Mallon  
Registration No. 39,287  
Attorney of Record